

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Jun Hirabayashi et al.

Application No.: 10/596,704

Confirmation No.: 9747

Filed: June 22, 2006

Art Unit: N/A

For: SUGAR CHAIN STRUCTURE PROFILING
TECHNIQUES

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:
(Check one of the boxes A-D)

- ☐ A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above identified national application
- ☒ B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
- ☐ C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(check one of the boxes "i" and "ii" below:)

- ☐ i. Counsel states that, upon information and belief, each item of information listed herein was (check one of boxes (a) or (b))
- ☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
- ☐ ii. A check for the fee set forth in 1. 17(p), presently believed to be \$180, is enclosed.
- ☐ D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant petitions under 37 C.F.R. 1.97(d) for the consideration of this IDS. Under 37 CFR 1.17(i) a check in the amount of \$180.00 is enclosed. Counsel certifies that, upon information and belief, each item of information listed herein was

(check one of the boxes "a" and "b" below:)

- ☐ (a) first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO/SB/08) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A, B and/or C and fill in blanks, if appropriate.)

- ☒ A. Pursuant to the 37 C.F.R. § 1.98(a)(2)(ii), a copy/copies of the U.S. Patent(s) and/or U.S. Patent Application Publication(s) on PTO/SB08 is/are not being submitted.
- ☐ B. Document(s) _____ is (are) deemed substantially cumulative to document(s) _____, and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.
- ☐ C. Certain documents were previously cited by or submitted to the Office in the following prior applications, which are relied upon under 35 U.S.C. 120:

<<INSERT SERIAL NO. & FILING DATE>>

Applicant identifies these documents by attaching hereto copies of the forms PTO-892, PTO-1449 and/or PTO/SB/08 from the files of the prior application(s) or a fresh PTO/SB/08 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application.

- ☒ 3. Cite No(s). BB through BF are not in the English language. In accordance with 1.98(c), Applicant states:
- ☒ An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed.
- ☐ The requirement for a concise explanation of the relevance of any foreign language document is satisfied by the attached search report; citation of the documents cited in the search report shall not be construed as an admission that they are or are considered to be, material to patentability of the subject matter claimed herein (See MPEP §609).
- ☐ A concise explanation of the relevance of document(s) _____ is set forth as follows: [Insert concise explanation of relevance]
- ☐ A concise explanation of the relevance of document(s) _____ can be found on page(s) _____ of the specification.
- ☐ A concise explanation of document(s) _____ can be found on the attached sheet.

- ☒ 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 in the preamble to the final rules; 1135 OG 13 at 20).
- ☒ 5. Other information being provided for the examiner's consideration follows:

An International Search Report, dated October 19, 2004, which issued during the prosecution of International Patent Application No. PCT/JP2004/009600 which corresponds to the present application.

An International Preliminary Report on Patentability, dated July 24, 2006, which issued during the prosecution of International Patent Application No. PCT/JP2004/009600 which corresponds to the present application.

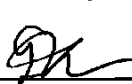
6. In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless other-wise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

Early and favorable consideration is earnestly solicited.

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: February 2, 2007

Respectfully submitted,

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/596,704-Conf. #9747
				Filing Date	June 22, 2006
				First Named Inventor	Jun Hirabayashi
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	20846/0205032-USO

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA*	US-5,500,342	03-19-1996	Miyamura et al.	
	AB*	US-6,054,047	04-25-2000	Hindsgaul et al.	
	AC*	US-20020074513-A1	06-20-2002	Abel et al.	
	AD*	US-7,056,678	06-06-2006	Markman et al.	
	AE*	US-10/596,692		National Institute of Advanced Industrial Science and Technology	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-00-68688	11-16-2000	Healthcare Technologies Ltd et al.		
	BB	JP-7-112996	05-02-1995	Takara Shuzo Co		
	BC	JP-8-201383-A	08-09-1996	Fukui, E., et al		✓
	BD	JP-2001-013125	01-19-2001	Synsorb Biotec Inc		
	BE	JP-2002-544485	12-24-2002	Markman O		
	BF	JP-2003-501654	01-14-2003	Abel AP, et al		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					T ²
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	CA	Nippon Kogyo Shinbun, July 2, 2003, pg. 20.			
	CB	Arata, Y., et al. "Application of Reinforced Frontal Affinity Chromatography and Advanced Processing Procedure to the Study of the Binding Property of a <i>Caenorhabditis Elegans</i> Galectin" Journal of Chromatography, January 5, 2001, 905 (1-2), pg. 337-43.			
	CC	Arata, Y., et al. "Sugar Binding Properties of the Two Lectin Domains of the Tandem Repeat-type Galectin LEC-1 (N32) of <i>Caenorhabditis elegans</i> ." Journal of Biological Chemistry, February 2, 2001, Vol. 276, No. 5, pg. 3068-77.			
	CD	Hirabayashi, J., et al. "Reinforcement of Frontal Affinity Chromatography for Effective Analysis of Lectin-Oligosaccharide Interactions." Journal of Chromatography, August 25, 2000, 890(2), pg 261-71.			
	CE	Hirabayashi, J., et al. "Oligosaccharide Specificity of Galectins: A Search by Frontal Affinity Chromatography." Biochimica et Biophysica Acta, September 19, 2002, 1572(2-3), pg. 232-54.			
	CF	Hirabayashi, J., et al. "Frontal Affinity Chromatography." Tanpakushitsu Kakusan Koso (Protein, Nucleic Acid and Enzyme), June 2003, 48(8 Suppl.), pg. 1206-12.			✓
	CG	English Translation of Hirabayashi, J., et al. "Frontal Affinity Chromatography." Tanpakushitsu Kakusan Koso (Protein, Nucleic Acid and Enzyme), June 2003, 48(8 Suppl.), pg. 1206-12.			
Examiner Signature				Date Considered	

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	June 22, 2006
				First Named Inventor	Jun Hirabayashi
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	20846/0205032-USO

	CH	Hirabayashi, J., 'Dai 53 Kai Japanese Electrophoresis Society Symposium Post Genome Kenkyu no PERSPECTIVE: Functional Glycomics', June 30, 2003, pg. 4-6.	
	CI	Hirabayashi, J., 'Dai 24 Kai The Japanese Society of Carbohydrate Research Yoshishu', The Japanese Society of Carbohydrate Research, July 3, 2003, pg. 7.	
	CJ	Hirabayashi, J., "Lectin Affinity o Mochiita Glycome Kaiseki Gijutsu." Tanpakushitsu Kakusan Koso (Protein, Nucleic Acid and Enzyme), Vol.48, No. 11, August 10, 2003, pg. 1534-1541, 1433.	
	CK	Hirabayashi, J., "Seimeisa o Rikai suru - Tosa Kenkyu no Genjo." Nikkei Science, Vol. 33, No. 11, November 1, 2003, pg. 116.	
	CL	Nakamura, Sachiko, "Comprehensive Interaction Analysis Between Plant Lectins and PA-oligo Saccharides by an Automated FAC System." Seikagaku, Vol. 75, No. 8, August 25, 2003, pg. 1047 (#4P-068).	
	CM	International Search Report dated October 19, 2004 issued for corresponding International Application No. PCT/JP2004/009600.	
	CN	International Preliminary Report on Patentability dated July 27, 2006 issued for corresponding International Application No. PCT/JP2004/009600.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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